JCO3 Rec'd PCT/PTO 1 6 MAY 2005

PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTTORNEY'S DOCKET NUMBER 833.011

37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. PCT/GB2003/00494 PRIORITY DATE CLAIMED INTERNATIONAL FILING DATE 16 November 2002 14 November 2003 TITLE OF INVENTION Palatable Micro-Capsules APPLICANT(S) FOR DO/EO/US Gordon Nelson, Stephen C. Duckham, and Andrew E. Round Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. X The US has been elected (Article 31). 5. X A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). X has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 10. Items 11 to 20 below concern document(s) or information included: 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 13. X A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. 16. L A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 17. 🗀 A second copy of the published International Application under 35 U.S.C. 154(d)(4). 18. L A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19. L

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Other items or information:

Page 1 of 2

JC20 Rec'd PCT/PTO 1 6 MAY 2005

PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLINAT	ION ACT SIT MOSE, Se	7 (X R 1.5)	INTERNATIONAL APPLIC	CATION NO.	ATTORNEY'S DOC	KET NUMBER	
	01227	. •	PCT/GB2003/00	PCT/GB2003/004941		833.011	
21. The followi	ng fees are submitted:						
X a) Basic na	ational fee	\$300.00	\$ 300.00				
X b) Examination fee			\$200.00	\$ 200.00			
X c) Search fee\$500.00					\$ 500.00		
	TOTAL OF ABOVE CA	\$ 1000.00					
listing or co	ee for specification and d mputer program listing fil 0 sheets of paper or fract						
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)					
- 100 =	/50 =			x \$250.00	\$ 0.00		
	30.00 for furnishing the ordete (37 CFR 1.492(e)).	\$					
CLAIMS			NUMBER EXTRA	RATE	\$		
Total claims	Total claims		0	x \$50.00	\$ 0.00		
Independent claim	ns	3 - 3 =	0 x	\$200.00	\$ 0.00		
MULTIPLE DEPI	ENDENT CLAIM(S) (if ap	plicable)		+ \$360.00	\$ 0.00		
		CULATIONS =	\$ 1000.00				
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.							
SUBTOTAL = \$1000.00							
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).							
		\$ 1000.00					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property							
TOTAL FEES ENCLOSED = \$1000.00							
			F1777 - 1977 - 1977 - 1977 - 1977 - 1977 - 1977 - 1977 - 1977 - 1977 - 1977 - 1977 - 1977 - 1977 - 1977 - 1977	***	Amount to be refunded:	\$	
					Amount to be charged:	\$	
a. X A check in the amount of \$ 1,000 to cover the above fees is enclosed.							
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.							
c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 50-1170. A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND ALL CORRESPONDENCE TO: Boyle Fredrickson Newholm Stein & Gratz							
250 East Wisconsin Avenue, Suite 1030			-	SIGNATURE	URE		
Milwaukee, Wisconsin 53202 Timothy E					Newholm		
NAME							
				34,400			
REGISTRAT					ON NUMBER		



250 EAST WISCONSIN AVENUE

JC20 Rec'd PCT/PTO.225.953 MAY: 2015.975.

E-MAIL: MAIL@BOYLEFRED.COM

INTELLECTUAL PROPERTY LAW

TIMOTHY E. NEWHOLM DIRECT DIAL: 414-225-1667 TEN@BOYLEFRED.COM

May 16, 2005

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Re:

Utility Patent Application Transmittal

U.S. Patent Application Ser. No. (not assigned)

National Phase of PCT/GB2003/004941

Inventor: Nelson et al.

Title: Palatable Micro-Capsules

Assignee: MICAP PLC

Customer Account No.: 23598

Our Ref: 833.011

Dear Sir:

Enclosed in connection with the above-identified national phase patent application are:

- the national phase application, consisting of:
 - o 28 pages of specification, and
 - o 13 claims on 4 sheets,
- a Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) .Concerning a Submission Under 35 U.S.C. 371;
- our check in the amount of \$1,000.00 in payment of the government filing fee for the patent application;

• a Preliminary Amendment (1) entering headings suggested by the USPTO, (2) amending the claims without narrowing the claims and without adding new matter, (3) eliminating multiple dependencies and otherwise placing the claims into conformance with preferred USPTO practice, and (4) adding an Abstract. Please enter the Preliminary Amendment before determining the filing fees; and

Documents to Follow:

- an executed Declaration;
- an executed Assignment to MICAP PLC;
- a recordation cover sheet;
- an Appointment of Domestic Representative; and
- an Information Disclosure Statement (IDS), Form PTO-1449, and a copy of the cited documents.

Applicant(s):

Name	Residence
Gordon Nelson	Cheshire, Great Britain
Stephen C. Duckham	Merseyside, Great Britain
Andrew E. Round	Manchester, Great Britain

Assignment for Published Application:

MICAP PLC

Power of Attorney:

Those attorneys associated with Customer Account No. 23598.

Small Entity Statement:

Not applicable.

Domestic Priority data as claimed by applicant:

This application is a 371 of PCT/GB2003/004941; filed 14 November 2003 (14.11.2003).

Commissioner for Patents
May 16, 2005
Page 2

10/534948 JC29 Rec'd PCT/PTO 1 6 MAY 2005

Foreign Application(s):

Great Britain 0226796.1; filed 16 November 2002 (16.11.2002.

Non-Publication Request:

Not applicable.

Deposit Account Authorization

The Director is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1170.

Respectfully submitted,

Timothy E. Newholm Registration No. 34,400

Enclosures

Certification Under 37 CFR 1.10

Express Mail Label No: EV506062090US

Date of Deposit: May 16, 2005

I hereby certify that this paper or fee is being deposited with the United States Postal Service in "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Jedi A Calderon